

# User ID and eDelivery Request

ADVISOR SOLUTIONS

For use with Pershing Advisor Solutions brokerage custody and BNY Mellon, National Association ("BNY Mellon, N.A.") bank custody accounts.

## COMPLETE THIS FORM TO:

- Create or modify an existing User ID and password for online access to our website. The URL for the website will be provided to you via email once your User ID has been established.
- Authorize electronic delivery of Account Communications as defined in the Website Access Terms and Conditions; Electronic Delivery Consent section of this form.
- Authorize view-only online access to your account(s) for a third party (your attorney, accountant, or other interested party).
- Link accounts to an existing ID.

## STEP 1. USER ID INFORMATION

### Existing users:

To link accounts to an existing ID provide here and skip to the Electronic Delivery Preferences section:

Existing User ID:
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### New users:

All new users must complete this section to receive a User ID.

- Check here if this user is a third party, such as attorney, accountant, or other interested party. This user will have view only capabilities.

Relationship of the Third Party	
Name (must be an individual and not an entity)	
Date of Birth	Mother's Maiden Name (last name)
Email Address	

Notices related to online activity shall be sent to this email address. A User ID must have a minimum of 7 and maximum of 15 characters.

Desired User ID (first choice)	Desired User ID (second choice)
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## STEP 2. ELECTRONIC DELIVERY PREFERENCES

Your Account Communications will be sent via electronic delivery (e-delivery). Third party users are not permitted to elect e-delivery. Tax documents will be sent via paper delivery. If you prefer e-delivery, please access the Investor Portal and update your preference upon receipt of your User ID. If you prefer to receive other Account Communications via paper, please change your delivery preferences in the Investor Portal. Each Account Communication delivered via paper may be subject to a fee.



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### STEP 3. LINK ACCOUNTS TO THE USER ID

Please list all accounts that should be linked to this User ID. By signing this form, each account holder acknowledges that they have read and agree to all terms and disclosures in this document. **Note: Account holders that appear on multiple account registrations are only required to sign once below.**

<b>PRIMARY ACCOUNT NUMBER</b>	<b>ACCOUNT NICKNAME</b> (I.E., "JOHN'S IRA", MAX 24 CHARACTERS)	<b>PRINT NAME OF ACCOUNT HOLDER(S)</b>
<b>PRIMARY ACCOUNT HOLDER SIGNATURE(S)</b>		<b>DATE</b>

Account Number	Account Nickname	Print Name of Account Holder(s)
Account Holder Signature(s)		Date

Account Number	Account Nickname	Print Name of Account Holder(s)
Account Holder Signature(s)		Date

Account Number	Account Nickname	Print Name of Account Holder(s)
Account Holder Signature(s)		Date

Account Number	Account Nickname	Print Name of Account Holder(s)
Account Holder Signature(s)		Date

**STEP 4. WEBSITE ACCESS TERMS AND CONDITIONS; ELECTRONIC DELIVERY CONSENT**

"You" means the account owner(s) of the account.

"Account Communications" means account statements, trade confirmations and/or other notices, disclosures and other information related to your account including without limitation prospectus, quarterly, semi-annual or annual shareholder reports, proxy statements, and legal and regulatory notices and documents.

"Authorized User" means a person the You have authorized to be provided an additional user ID which enables access to your account online.

"Website" means the website maintained by Pershing LLC on behalf of Pershing Advisor Solutions, BNY Mellon, N.A., and your Investment Advisor that enables you to access your account information and perform other activities.

(I) You expressly agree and acknowledge that your use and any Authorized Users' use of the Website is subject to the terms and conditions of use that are posted on Pershing's website and/or the Website, including without limitation any disclaimers of warranties and other notices therein. Such terms and conditions may be amended from time to time by posting them on the Website. Continued use of the Website by You or your Authorized Users will constitute your acceptance of the then-current terms and conditions.

Pershing Advisor Solutions will provide a user ID and password for accessing your Website. You agree that You are solely responsible for: (a) safeguarding and keeping confidential your password and user IDs that You and your Authorized Users use to access the Website and any information that is retrieved from the Website. You agree that You and your Authorized Users will only access the Website from devices with industry standard anti-virus/antimalware protection. You agree to accept responsibility for all activities that occur under such user ID(s) or password(s).

You agree to immediately notify your Investment Advisor if: (i) the passwords or user IDs are lost or stolen, or (ii) You become aware of any unauthorized use of the passwords, user IDs, or access to Your Accounts that may be related to your use of the Website. You agree that the providers of the Website are not liable for any loss or damage arising from any activity that occurs via the use of your password and/or user ID.

Use of this online service is at your sole risk. The Website service provided herein is on an "as is," "as available" basis and without warranties including, without limitation, those of merchantability, fitness for a particular purpose or non-infringement, other than those warranties which are implied by and incapable of exclusion, restriction or modification under the Laws applicable to this Website service. Neither Pershing Advisor Solutions, BNY Mellon, N.A., Pershing, nor their respective members, affiliates, officers, directors, employees, representatives, agents, contractors, information providers or services, warrants timeliness, sequence, accuracy, completeness, reliability or content of electronic information or that Website service will be uninterrupted or error free. You agree to abide by

this agreement as it may be amended from time to time; amended terms will be posted on the Website. You agree that Pershing or your Investment Advisor may send notices related to online activity in Your Account to the email address(es) that You have provided directly to your Investment Advisor or by registering on the Website.

(II) You agree that your Investment Advisor, Pershing Advisor Solutions and Pershing may make the Account Communications available in an electronic form as described herein instead of mailing them in paper form.

If a user ID has been requested, Account Communications will be made available to You online via a Website maintained by Pershing on behalf of Pershing Advisor Solutions and your Investment Advisor. Account communications will be available in portable document format ("PDF"). You affirm that You have installed a recent version of Adobe Acrobat Reader or other software application that enables You to view and print your Account Communications. You may print or save a copy of any of the Account Communications at any time. You may request hard copies of any of your Account Communications by updating your preferences via the Website.

An electronic mail ("email") notification will be sent when Account Communications are available to be accessed on the Website. The email will provide You with the URL of the Website. The email notification(s) will be sent to the email address(es) You provided directly to your Investment Advisor or provided by registering on the Website. You may view and verify your email address(es) via the Website, and change the email address(es) through the Website or by contacting your Investment Advisor.

In the event of an email notification failure as defined by Pershing, Pershing may discontinue electronic delivery and mail your Account Communications in paper form until You re-enroll through the Website. If You lose access to the Website, You should contact your Investment Advisor to have your access reinstated. By providing this Electronic Delivery Consent, You affirm that You have provided a valid email address directly to your Investment Advisor or by registering on the Website, have access to the Internet and are at least 18 years of age. You agree that electronic delivery of the Account Communications is deemed accepted, regardless of whether You access or view a particular account communication document. You acknowledge that any Authorized Users for Your Account are able to make changes to the electronic delivery settings for Your Account, and You agree to accept responsibility for such changes.

This Electronic Delivery Consent is effective until revoked. You may revoke your consent and resume receiving paper Account Communications by changing your delivery preferences by following the instructions on the Website, or by contacting your Investment Advisor directly for assistance.